



1771

PATENTS

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BRANHAM, et al.

Serial No. 09/815,261

Filed: March 22, 2001

For: WATER-DISPERSIBLE, CATIONIC  
POLYMERS, A METHOD OF MAKING  
SAME AND ITEMS USING SAME

Art Unit: 1713  
~~1771~~

Examiner: Norca Liz Torres Velazquez

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The citation of information on the attached Form PTO/SB/08A, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.97 and 1.98. A copy of the listed items is enclosed.

**Certificate**

It is hereby certified, under Rule 97(e)(1), that each item of information contained in the accompanying Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC, 20231, on February 13, 2003.

*David E. Wigley*

David E. Wigley Reg. No. 52,362

not more than three months prior to the filing of the statement. A copy of the International Search Report is also attached.

The citation of this information does not constitute an admission that any of the materials are available as a reference or of priority, or a waiver of any right applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,



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Our Docket: 44040-256044 (11302-1160)



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Sheet	1	of	1	
Application Number				09/815,261
Filing Date				March 22, 2001
First Named Inventor				Branham et al.
Group Art Unit				1771
Examiner Name				Norca Liz Torres Velazquez
Attorney Docket Number				11302-1160 (44040-256044)

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	4,970,260		Lundberg, R. D.	11-13-1990	

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**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Office <sup>3</sup>	Number <sup>4</sup> (if known)				
	2	✓	WO 00/39378	Kimberly-Clark Worldwide, Inc.	07-06-2000		
	3	✓	WO 98/41577	Kimberly-Clark Worldwide, Inc.	09-24-1998		
	4	✓	WO 98/52698	The Dow Chemical Company	11-26-1998		
	5	✓	WO 98/29501	Kimberly-Clark Worldwide, Inc.	07-09-1998		
	6	✓	JP 10 204792	Seiki Kagaku Kogyo KK	08-04-1998		

**OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.